

ITEM APPENDIX 1

PROGRESS MONITORING REPORT to March 2009 – AUDIT COMMITTEE

Title of Agenda Item	Concern/Cause	Action Proposed	Responsibility	By when	Action Taken	Status
MEETING HELD ON 27 MARCH 2008						
2.f	Has the Audit Committee (with delegated responsibility) or the Full Council adopted 'Managing the Risk of Fraud – Actions to Counter Fraud and Corruption'?	Internal audit review of compliance with this framework will be reported back to Committee 25/06/08	Neil Pitman	June 2008	The action plan against 'Managing the Risk of Fraud' was reported to the Audit Committee 24 September 2008. All actions from the self assessment have been implemented (as 2.f)	COMPLETE
2.g	Does the Audit Committee ensure that the 'Actions to Counter Fraud and Corruption' are being implemented?	See 2.f				COMPLETE
5.d	Does the Audit Committee ensure that officers are monitoring action taken to implement external audit recommendations?	Progress to be reported along similar lines to actions arising from internal audit work	Neil Pitman	September 2008	External Audit recommendations are now monitored as part of the Status of Audit Work report presented quarterly	COMPLETE

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5.e	Are reports on the work of external audit and other inspection agencies presented to the committee, including the Audit Commission's annual audit and inspection letter?	Audit Commission reports are now automatically presented, but needs extending to other inspection agencies	Neil Pitman	Sept 08	Assurance mapping was undertaken as part of the Annual Governance Statement (controls assessment checklist 09). Work being undertaken to consolidate feedback to enable a corporate overview.	ONGOING
MEETING HELD ON 24 SEPTEMBER 2008						
3. Audit Commission : Audit and Inspection Plan Progress Report		(ii) that the External Audit Manager, Audit Commission would certify completion of the City's accounts as soon as the questions raised by two electors had been answered. (Minute No 18(ii))	External Audit Manager, Audit Commission		Additional letter received November 2008. Continuing to review the issues raised.	ONGOING

MEETING HELD ON 19 MARCH 2009

8. Internal Audit: Revised Strategic Plan 2007/08 to 2009/2010		That the Solicitor to the Council be requested to arrange appropriate training for members and officers regarding partnership working under the new Partnership code	Mark Heath	Sep 09	Communication of new arrangements to relevant officers and members is scheduled to commence in June 2009	ONGOING
9. Internal Audit: Status of Work to February 2009		That the Executive Director of Environment be requested to report to the next meeting providing an overview of the current situation and the way forward concerning planning approvals subject to Section 106 Agreements under the Town and Country Planning Act 1990.	Lorraine Brown/Paul Nichols	Jun 09	Representative of the Environment Directorate to attend the Audit Committee (June 2009) to brief Members with regard section 106 agreements	ONGOING